

D7

(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
18 April 2002 (18.04.2002)

PCT

(10) International Publication Number  
**WO 02/30406 A2**

- (51) International Patent Classification<sup>7</sup>: **A61K 31/00**
- (21) International Application Number: **PCT/IB01/02759**
- (22) International Filing Date: 11 October 2001 (11.10.2001)
- (25) Filing Language: English
- (26) Publication Language: English
- (30) Priority Data:  
09/687,384 13 October 2000 (13.10.2000) US
- (63) Related by continuation (CON) or continuation-in-part (CIP) to earlier application:  
US 09/687,384 (CON)  
Filed on 13 October 2000 (13.10.2000)
- (71) Applicant (for all designated States except US): **ROYAL COLLEGE OF SURGEONS IN IRELAND** [IE/IE]; St. Steven's Green, Dublin 2 (IE).
- (72) Inventors; and  
(75) Inventors/Applicants (for US only): **DINAN, T., G.** [IE/IE]; Merton House, Frenches Walk, Cobh, County Cork (IE). **KEELING, P., W., N.** [IE/IE]; 12 Morehampton Road, Dublin 4 (IE).
- (81) Designated States (national): CA, JP, US.
- (84) Designated States (regional): European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR).
- Published:  
— without international search report and to be republished upon receipt of that report
- For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.



**WO 02/30406 A2**

(54) Title: TREATMENT AND PREVENTION OF GASTROINTESTINAL DISEASE USING ANTAGONISTS OR PARTIAL AGONISTS OF 5HT<sub>1A</sub> RECEPTORS

(57) Abstract: The present invention provides a method for preventing and treating gastrointestinal diseases such as dyspepsia, irritable bowel disease and chemotherapy-associated nausea by administering an antagonist or partial agonist of 5HT<sub>1A</sub> receptors.

**Treatment and Prevention of Gastrointestinal Disease using  
Antagonists or Partial Agonists of 5HT<sub>1a</sub> Receptors**

5

**FIELD OF THE INVENTION**

The present invention provides a method for preventing and treating gastrointestinal  
10 diseases such as dyspepsia, irritable bowel disease and chemotherapy-associated nausea by  
administering an antagonist or partial agonist of 5HT<sub>1a</sub> receptors.

**BACKGROUND OF THE INVENTION**

15

Dyspepsia is a common symptom ranging in prevalence from 26% in the United States to 41%  
in England (1). Whilst only 1 in 4 patients seek medical help (2) the condition results in  
significant health care costs (3) and an organic cause is found in only 40% of patients. The  
Rome criteria for diagnosing idiopathic or nonulcer dyspepsia (NUD) were put forward in 1991  
20 and consist of chronic or recurrent upper abdominal pain or discomfort in the absence of  
obvious pathology (4). The Rome group suggested subcategorising NUD into ulcer-like,  
reflux-like, dysmotility-like and non-specific dyspepsia. This classification has been  
questioned on the grounds that there is a marked overlap of symptoms and an overlap between  
the symptoms and those of the irritable bowel syndrome (5).

25

Conventional diagnostic evaluation (endoscopy, ultrasonography, 24h ambulatory pH  
monitoring) does not reveal a structural or biochemical abnormality to explain NUD. Attempts  
at elucidating the pathophysiology of the condition have produced inconsistent findings (6) and  
a wide array of theories are currently put forward (7).

30

Serotonin (5HT) is a neurotransmitter both in the enteric nervous system (8) and in the brain  
(9). It plays a key role in regulating gut physiology, including peristalsis and intestinal tone

(10). Animal studies have shown that intracerebroventricular injection of fenfluramine (a serotonin releasing agent) inhibits gastric emptying (11). Selective serotonin reuptake inhibitors, such as fluoxetine and sertraline, are widely used in the treatment of depression and produce a transient syndrome similar to NUD in up to 30% of patients treated (12).

5

Studies indicate that a central 5HT<sub>1a</sub> receptor hypersensitivity may be involved in the pathophysiology of NUD (13,14). The release of prolactin from the anterior pituitary is under dopamine inhibition and under 5HT stimulation, probably at the level of the hypothalamus (15). Buspirone is an azaspirodecanedione, which acts as a partial agonist at the 5HT<sub>1a</sub> receptor (16) and stimulates prolactin release. We have established that prolactin release following buspirone challenge is enhanced in NUD indicating 5HT<sub>1a</sub> receptor supersensitivity in this condition.

10

We have demonstrated this in a clinical study that extends our previous findings reported in U.S. Patent No. 5,403,848.

15

A total of 109 subjects, 50 NUD patients (39 female/11 male) and 59 healthy comparison subjects (32 female/28 male) gave fully informed consent to take part in the study, which had Ethics Committee approval. The mean $\pm$ SD age of the patients was 35.6 $\pm$ 12.2 years (Range 20-62) and of the comparison group 27.2 $\pm$ 7.6 years (Range 20-52). At 0830h subjects had a cannula inserted in a forearm vein. Buspirone (30mg) or matching placebo was administered orally at 0900h (Time 0). Blood was taken at 0, 30, 60, 90, 120 and 180min. Prolactin levels rose in all subjects challenged with buspirone. The mean $\pm$ SD AUC in patients was 46 $\pm$ 35 and in healthy subjects 24 $\pm$ 35. A 2-way repeated measures ANOVA yields a significant group X time interaction, with differences significant at 60min (p<0.05), 90 min (p<0.01) and 120 min

20

( $p < 0.05$ ). Prolactin concentration at 90 min provided the best discrimination between the two groups.

According to the present invention, what is required to treat non-ulcerative dyspepsia is the  
5 administration of effective amounts of a substance that reduces the sensitivity of 5HT<sub>1a</sub>  
receptors and we have discovered that pindolol, which has affinity for 5HT<sub>1a</sub> receptors has  
beneficial effects in subjects suffering from non-ulcerative dyspepsia.

## SUMMARY OF THE INVENTION

The present invention provides a means for prevention and treatment of gastrointestinal disease by administration of a substance that reduces the sensitivity of 5HT1a receptors. A preferred means is the administration of RS pindolol or a salt thereof. An especially preferred means is the administration of S (-) pindolol or a salt thereof.

## DETAILED DESCRIPTION OF THE INVENTION

As noted earlier, this invention can use any substance that is an antagonist or a partial agonist of 5HT1a receptors such that the sensitivity of 5HT1a receptors described above is reduced.

Pindolol is a beta adrenergic antagonist, used in the treatment of hypertension and angina. It also has affinity for 5HT1a receptors of a similar magnitude as its affinity for beta adrenergic receptors. Until now, no therapeutic applications of this phenomenon have been discovered. Pindolol is used therapeutically in hypertension and angina as the racemic substance, RS pindolol. Most or all of the pharmacological effects of pindolol are possessed by the isomer S (-) pindolol. The present invention utilizes pindolol to reduce the sensitivity of 5HT1a receptors and as a result to provide the means for prevention and treatment certain gastrointestinal diseases, including non-ulcerative dyspepsia. A preferred embodiment of the invention is the isomer S (-) pindolol or salts thereof. Another method utilizes the administration of cyproheptadine, described in U.S. Patents 5,324,738 and 5,403,848. The latter also describes a method for diagnosis of non-ulcerative dyspepsia by measuring the responsiveness of 5HT1a receptors. RS pindolol has an advantage over cyproheptadine of greater selectivity for the 5HT1a receptor and S (-) pindolol has further advantages of greater potency and specificity.

The invention is likely to be effective in various presentations of gastrointestinal disease in which there is altered sensitivity of 5HT<sub>1a</sub> receptors. We have specific demonstration of the role of 5HT<sub>1a</sub> receptors in non-ulcerative dyspepsia, but there is likely to be also benefit in certain cases of irritable bowel syndrome, especially that occurring in the upper intestinal region and in certain cases of motility disorders (including nausea) caused by cancer chemotherapy.

Various pharmaceutical presentations are possible, including (but not limited to) tablets, capsules, oral solutions and suspensions and parenteral solutions. Included are also pharmaceutical formulations for oral use in which the active substance is released in a controlled and slower fashion such that the treatment may be administered less frequently.

The usual doses of RS pindolol and S (-) pindolol will be in the range of 2.5mg to 50mg daily in single or divided doses, depending upon the therapeutic response and the pharmaceutical form. The usual doses of S (-) pindolol will be lesser than those of RS pindolol since the former will be more potent because it is responsible for most or all of the pharmacological effects.

The invention is intended for the treatment of mammals, including humans.

The ability of the invention to treat gastrointestinal disease has been demonstrated in a clinical study.

EXAMPLE

Eleven patients suffering from non-ulcerative dyspepsia were recruited to a clinical study and gave informed consent. All were treated with pindolol 5mg three times daily. Seven of the 11 patients showed a significant improvement in symptoms within 1 week of commencing treatment. A further patient improved in the second week. Their responses were quantified using a standard rating scale (GSRS scores). The results demonstrated a substantial improvement with a reduction in average symptom severity of approximately 68% in three weeks, with the greatest improvement observed within one week.

10

Table 1. Mean symptom score (average of 11 patients)

Week	Mean GSRS Score
0	9
1	4.2
2	3.5
3	2.9

**EXAMPLE 2**

An example of an immediate-release formulation of S (-) pindolol is as follows.

**5 Quantities for 100,000 tablets**

S (-) pindolol	0.25kg
Avicell pH 101	3.5kg
Lactose	4.55kg
Aerosil 200	0.1kg
Maize Starch	1.0kg
Povidone 30	0.3kg
Magnesium stearate	0.1kg
Crospovidone	0.2kg

Total weight 10.0kg

**Manufacturing process**

- 10 Blend in a suitable mixer the starch, lactose and half of the Aerosil for 10 minutes

Add the pindolol and half of the Avicel and mix for a further 10 minutes

- 15 Dissolve the Povidone in ethanol and add to the powders.

Mix to a suitable consistency.

- 20 Dry the granules.

Pass the granules through a No 12 mesh.

- 25 Blend in a suitable mixer the granules with the magnesium stearate, crospovidone and the remaining Aerosil and Avicel for 30 minutes.

Compress tablets at 100mg on a rotary compression machine.

- 30 The above example is not intended to exclude the many other possible formulations, including both immediate-release and controlled-release formulations.



## REFERENCES TO PREVIOUS PATENTS

T.G. Dinan and P.W.N. Keeling      U.S. Patent No. 5,324,738  
T.G. Dinan and P.W.N. Keeling      U.S. Patent No. 5,403,848

5

## OTHER REFERENCES

1. Fisher RS, Parkman HP. Management of nonulcer dyspepsia. *N Engl J Med* 1998;339:1376-81.
2. Brown C, Rees EWE. Dyspepsia in general practice. *BMJ* 1990;300:829-30.
3. Nyren O, Adami HO, Gustavsson S, Loof L. Excess sick-listing in nonulcer dyspepsia. *J Clin Gastroenterol* 1986;8:339-45.
4. Talley NJ, Colin-Jones D, Koch KI, Koch M, Nyren O, Stranghellini V. Functional dyspepsia: a classification with guidelines of diagnosis and management. *Gastroenterol Int* 1991;4:145-60.
5. Talley NJ, Zinsmeister AR, Schleck CD, Melton LJ. Dyspepsia and dyspepsia subgroupings: a population-based study. *Gastroenterology* 1992;102:1259-68.
6. Talley NJ, Philips SF. Non-ulcer dyspepsia: potential causes and pathophysiology. *Ann Intern Med* 1988;108:865-79.
7. Dotevall G. Psychosomatic gastroenterology today and some ideas for tomorrow. *Gastroenterol Int* 1989;2:96-100.
8. Gershon MD, Erde SM. The nervous system of the gut. *Gastroenterology* 1981;80:1571-94.
9. Baumgarten HG, Grozdanovic Z. Neuroanatomy and neurophysiology of central serotonergic systems. *J Serotonin Res* 1994;1:171-81.
10. Lundgren O, Svanvik J, Jivegard L. Enteric nervous system: 1. Physiology and pathophysiology of the intestinal tract. *Digest Dis Sci* 1989;34:264-83.
11. Rowland N, Carlton J. Inhibition of gastric emptying by peripheral and central fenfluramine in rats: correlation with anorexia. *Life Sci* 1984;34:2495-9.
12. Thakore JH, Berti C, Dinan TG. Treating depression with specific serotonergic acting agents. *J Serotonin Res* 1996;3:145-160.
13. Dinan TG, Yatham LN, Barry S, Chua A, Keeling PWN. Serotonin supersensitivity: the pathophysiologic basis of non-ulcer dyspepsia? A preliminary report of buspirone/prolactin responses. *Scand J Gastroenterol* 1990;25:541-44.
14. Chua A, Keating J, Hamilton D, Keeling PWN, Dinan TG. Central serotonin receptors and delayed gastric emptying in in-ulcer dyspepsia. *BMJ* 1992;305:280-2.

15. Lamberts SWJ, Macleod RM. Regulation of prolactin secretion at the level of the lactotroph. *Physiol Rev.* 1990;70:279-318.
- 5 16. Meltzer HY, Maes M. Effects of buspirone on plasma prolactin and cortisol levels in major depressed and normal subjects. *Biol Psychiat.* 1994;35:316-323.

What is claimed is:

1. A method for preventing and treating gastrointestinal disease by means of administration of an effective amount of an antagonist or partial agonist of 5HT<sub>1a</sub> receptors.

5

2. A method according to claim 1 employing an effective amount of the racemic substance RS pindolol or a salt thereof.

10

3. A method according to claim 1 employing an effective amount of one of the enantiomers, S (-) pindolol of claim 2 or a salt thereof.

15

4. A method according to claim 1 in which effective amounts of RS-pindolol or S(-) pindolol or their salts are administered in a pharmaceutical dosage form that permits rapid release of the active substances.

20

5. A method according to claim 1 in which effective amounts of RS pindolol or S(-) pindolol or their salts are administered in a pharmaceutical dosage form that releases the active substances in a slow or controlled fashion that in turn permits administration of the active substances at lesser frequency than in claim 4.

25

6. A method according to claim 1 in which the gastrointestinal diseases are characterised as non-ulcerative dyspepsia or irritable bowel syndrome or chemotherapy-associated disorders of motility, including nausea.